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PTO/SB/21 (08-00)

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U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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# TRANSMITTAL FORM

(To be used for all correspondence  
after initial filing)

Application Number	09/883,091
Filing Date	June 15, 2001
First Named Inventor	Edmund Y. Ting
Group Art Unit	1734
Examiner Name	
Attorney Docket No.	340058.535

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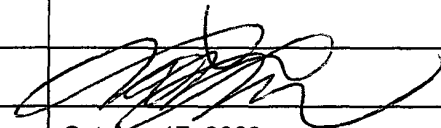
TC 1700

## ENCLOSURES (check all that apply)

- |  |  |  |
|--|--|--|
| <input type="checkbox"/> Fee Transmittal Form  | <input type="checkbox"/> Assignment Papers<br>(for an Application)                             | <input type="checkbox"/> CD(s), Number<br>of CD(s) _____   |
| <input type="checkbox"/> Fee Attached  | <input type="checkbox"/> Drawing(s)  | <input type="checkbox"/> After Allowance<br>Communication to Group                               |
| <input type="checkbox"/> Amendment/Response  | <input type="checkbox"/> Request for Corrected Filing<br>Receipt                               | <input type="checkbox"/> Appeal Communication to<br>Board of Appeals and<br>Interferences        |
| <input type="checkbox"/> After Final   | <input type="checkbox"/> Licensing-related Papers  | <input type="checkbox"/> Appeal Communication to<br>Group (Appeal Notice, Brief,<br>Reply Brief) |
| <input type="checkbox"/> Affidavits/declaration(s)                                     | <input type="checkbox"/> Petition  | <input type="checkbox"/> Proprietary Information   |
| <input type="checkbox"/> Extension of Time Request                                     | <input type="checkbox"/> Petition to Convert to a<br>Provisional Application                   | <input type="checkbox"/> Status Letter   |
| <input type="checkbox"/> Express Abandonment<br>Request                                | <input type="checkbox"/> Power of Attorney,<br>Revocation, Change of<br>Correspondence Address | <input checked="" type="checkbox"/> Return Receipt Postcard                                      |
| <input checked="" type="checkbox"/> Information Disclosure<br>Statement; Form PTO-1449 | <input type="checkbox"/> Declaration   | <input type="checkbox"/> Additional Enclosure(s)<br>(please identify below):                     |
| <input checked="" type="checkbox"/> Cited References                                   | <input type="checkbox"/> Statement under 37 CFR<br>3.73(b)                                     | _____  |
| <input type="checkbox"/> Certified Copy of Priority<br>Document(s)                     | <input type="checkbox"/> Terminal Disclaimer   | _____  |
| <input type="checkbox"/> Response to Missing Parts<br>under 37 C.F.R. 1.52 or 1.53     | <input type="checkbox"/> Small Entity Statement  | _____  |
| <input type="checkbox"/> Response to Missing<br>Parts/Incomplete Application           | <input type="checkbox"/> Request for Refund  | _____  |

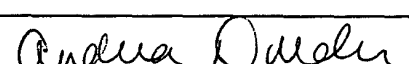
Remarks

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Individual Name	Lorraine Linford	 00500 PATENT TRADEMARK OFFICE
Signature		
Date	October 17, 2002	

## CERTIFICATE OF MAILING

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Date

Andrea Dolder  
Andrea Dolder

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Edmund Y. Ting et al.  
Application No. : 09/883,091  
Filed : June 15, 2001  
For : METHOD AND APPARATUS FOR HIGH PRESSURE  
TREATMENT OF SUBSTANCES UNDER CONTROLLED  
TEMPERATURE CONDITIONS

Art Unit : 1734  
Docket No. : 340058.535  
Date : October 17, 2002

Commissioner for Patents  
Washington, DC 20231

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents:

In accordance with 37 C.F.R. §§ 1.56 and 1.97 through 1.98, applicants wish to make known to the Patent and Trademark Office the references set forth on the attached form PTO-1449 (copies of the cited references are enclosed). As to any reference supplied, applicants do not admit that it is "prior art" under 35 U.S.C. §§ 102 or 103, and specifically reserve the right to traverse or antedate any such reference, as by a showing under 37 C.F.R. § 1.131 or other method. Although the aforesaid references are made known to the Patent and Trademark Office in compliance with applicants' duty to disclose all information they are aware of which is

believed relevant to the examination of the above-identified application, applicants believe that their invention is patentable.

We hereby certify that each of the references set forth on the attached form PTO-1449 was cited in a communication from a foreign patent office in a counterpart foreign application and that this communication was not received by any individual designated in §1.56(c) more than thirty days prior to the filing of this Information Disclosure Statement.

Please acknowledge receipt of this Information Disclosure Statement and kindly make the cited references of record in the above-identified application.

Respectfully submitted,

Edmund Y. Ting et al.

Seed Intellectual Property Law Group PLLC



Lorraine Linford

Registration No. 35,939

LL:ad

Enclosures:

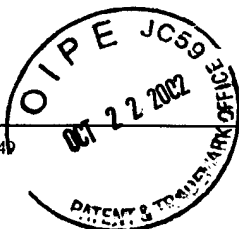
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Form PTO-1449

Cited References (5)

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Sheet 1 of 1FORM PTO-1449  
(REV. 7-80)U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.  
340058.535APPLICATION NO.  
09/883,091**SUPPLEMENTAL INFORMATION DISCLOSURE  
STATEMENT***(Use several sheets if necessary)*

APPLICANTS

Edmund Y. Ting et al.

FILING DATE

June 15, 2001

GROUP ART UNIT

1734

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TC 1700**U.S. PATENT DOCUMENTS**

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	5,475,983	12/19/95	Yamamoto et al.	62	62	
	AB	5,571,476	11/05/96	Newman	422	26	
	AC						
	AD						
	AE						

**FOREIGN PATENT DOCUMENTS**

		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION	
					YES	NO
	AF	JP 6-7135	01/18/94	Japan (+ English Abstract)		X
	AG	JP 2-182157	07/16/90	Japan (+ English Abstract)		X
	AH	JP 1-110362	04/27/89	Japan (+ English Abstract)		X
	AI					

**OTHER PRIOR ART** *(Including Author, Title, Date, Pertinent Pages, Etc.)*

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EXAMINER

DATE CONSIDERED

\* EXAMINER: Initial if reference considered, whether or not criteria is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant(s).